v. . .

Attorney's Docket No.: 50277-1768 **Patent**

DECLARATION FOR PATENT APPLICATION

As a below named inventor(s), I hereby declare that:

the specification of which

My residence, mailing address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

"POLICIES ON A PER INSTANCE BASIS"

	s attached hereto. vas filed on <u>December</u>	28, 2001		as
United States Application Number				
	• •	lication Number		
	and was amended on		N**	
		(if applicable)		
I hereby state that I have including the claims(s), as		d the contents of the above dment referred to above.	-identified s	pecification,
I acknowledge the duty to Title 37, Code of Federal		known to me to be material 66 (copy attached).	to patental	oility as defined in
foreign application(s) for	patent or inventor's certif	35, United States Code, Se icate listed below and have te having a filing date befor	also identif	ied below any
Prior Foreign Application(<u>(s)</u>		Priority <u>Claimed</u>	
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
I hereby claim the benefit provisional application(s)	under Title 35, United Stated below	tates Code, Section 119(e)	of any Unite	ed States
_60/204,196	May 12	2, 2000		
(Application Number)		Date)		
(Application Number)	(Filing	Date)		

I hereby claim benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 (copy attached) which became available between the filing date of the prior application and the national or PCT International filing date of this application:

<u>09/853,823</u> <u>May 11, 2001</u>		Pending	Pending			
(Application Number)	(Filing Date)	(Status - pa	(Status - patented, pending, abandoned)			
(Application Number)	(Filing Date)	(Status - pa	(Status - patented, pending, abandoned)			
(Application Number)	(Filing Date)	(Status - pa	(Status - patented, pending, abandoned)			
I hereby declare that all statement on information and belief are been knowledge that willful false statement under Section 1001 of Title 18 is jeopardize the validity of the appropriate the statement of the section 1001 of Title 18 is jeopardize the validity of the appropriate the statement of the section 1001 of Title 18 is jeopardize the validity of the section 1001 of Title 18 is jeopard	elieved to be true; and furth ements and the like so ma of the United States Code a plication or any patent issu	ner that these state ade are punishable and that such willf led thereon.	ements were by fine or im	made with the prisonment, or both,		
Full Name of Sole/First Invento	r (given name, family name)	David J. Long	<u></u>			
Inventor's Signature		Date				
Residence Walnut Creek, Cal (City, State)	ifornia		_Citizenship	USA (Country)		
Mailing Address <u>1326 Milton</u>	Avenue, Walnut Creek, C	alifornia 94596	- To Victoria			
Full Name of Second Inventor (given name, family name)	David B. Pitfield				
Inventor's Signature		Date				
Residence San Jose, Californi (City, State)	a		_Citizenship _	Canada (Country)		
Mailing Address 1317 Dale A	Avenue, San Jose, Californ	nia 95125				

Title 37, Code of Federal Regulations, Section 1.56 <u>Duty to Disclose Information Material to Patentability</u>

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by § 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
 - (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
 - (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
 - (1) Each inventor named in the application;
 - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.